

# Network and Collaborative Governance: Theories, Methods and Practices

**Tampere (Finland)**

Saturday 18 April – Tuesday 21 April 2020

(before IRSPM conference 22-24 April 2020 at Tampere University)

## **Organizers (NIG, Supported by IRSPM)**

Professor Erik Hans Klijn (Erasmus University of Rotterdam, The Netherlands), Professor Jenny Lewis (Melbourne University, Australia), *Guest lecturer*: To be advised.

## **Aim of the course**

Transformations of state and society over the last 3 decades have increased the importance of various forms of collaborative and network governance in forming and implementing public policy. Collaborative and network forms of governance take place in networks (including collaborative arrangements like partnerships and arrangements to foster coproduced public services), and engage public, private and civil society actors at transnational, national, regional and local scales in shaping the future of our societies. These networks or collaborative arrangements however may fail due to many causes. Network and collaborative management is often introduced as a means to mitigate the risk of failure and enable governance networks to achieve desired outcomes in terms of more effective and democratic governance and more innovation policy making.

Research into collaborative and network governance is now firmly established all over the world. It is offering important opportunities for theoretical and methodological development, and for the generation of new knowledge with both academic and policy relevance. National and local differences demonstrate the need for theoretically and methodologically sound comparative research. The course will provide both an overview of the state of the art and a platform for discussing collaborative and network theories and students individual contributions.

This course is offered by the Netherlands School of Government (NIG) in cooperation with the International Research Society of Public Management (IRSPM). Lectures are the former president of IRSPM (Erik Hans Klijn) and the current president of IRSPM (Jenny Lewis). It will bring together PhD students and leading academics from both networks, but also be open for PhD students from other countries. It will create a learning community in which PhD students will:

- Develop their analytical understanding of collaborative and network governance;
- Strengthen their theoretical and methodological knowledge;
- Test their ideas and conclusions through dialogue with leading researchers;
- Contextualize their research in a comparative, multi-national setting;
- Have a chance to present and gain feedback on their research;
- Build an international network of young researchers in the field.

**Credits**

The course accounts for 4 ECTS, which includes the *writing of a paper* and preparing the literature. When finished the course students will get an *official certificate* issued by The Netherlands Institute of Government (NIG) an official accredited research school.

**Registration**

If you want to participate in the course, please apply by filling in the application form on the NIG website:

<http://www.utwente.nl/nig/>

**Fee: €500,-**

PhD students from NIG can participate tuition free.

**About NIG**

*The Netherlands Institute of Government (NIG) is the Dutch Research School for Public Administration and Political Science. NIG is an interuniversity research school in which faculties from ten Dutch universities and two Flemish universities participate. In 2019 the doctorate programme has been accredited by the European Association for Public Administration Accreditation (EAPAA) for a term of seven years.*

*NIG coordinates and stimulates research in the area of Public Administration and Political Science and offers a training program for PhD candidates. For more information on NIG's activities, see*

<http://www.utwente.nl/nig/>

## **Program**

The course runs over 4 days and each day is devoted to discussion of a core theme in the field of collaborative and network governance research.

The first day focuses on the theory and practice of network and collaborative governance. How can we define governance, collaborative governance and governance network? What is the potential role of networks and how do we explain their formation? How is collaborative and network governance practiced in different national contexts and at different levels? What are the main differences between the leading theories? The social network perspective on this topic is also introduced.

The second day explores how we can reconstruct/analyze networks and complex collaborative decision making processes.

The third day we discuss the normative aspects of (network and collaborative) governance: their democratic legitimacy and how we can evaluate (and manage) outcomes. And we elaborate research methods that can be used in research.

On the fourth day we will discuss the future of network governance research. We will conclude the course with a Q&A session to discuss the future of network governance.

The course includes several types of activity, as also indicated in the program below:

- Interactive lectures which present the state of the art of the field (= lectures)
- Discussions between lecturers and PhD-students on core readings which focus on central questions and topics (= discussions)
- Application of theoretical skills in empirical analysis (= exercise)
- Presentations by PhD students of their own work (= PhD presentations)

Lecturing schedule (may change slightly later)

<b>Time</b>	<b>Day 1 (Theory and practice sat Saturday 18 April 2020</b>	<b>Day 2 analyzing networks/doing research 1 Sunday 19 April 2020</b>	<b>Day 3 Normative outcomes/ doing research 2 Monday 20 April 2020</b>	<b>Day 4: future of network research Tuesday 21 April 2020</b>
<b>8:30-9:00</b>		<i>Coffee and tea</i>	<i>Coffee and tea</i>	<i>Coffee and tea</i>
<b>9:00-10:00</b>	<i>Arriving in Tampere</i>	<i>Lecture:</i> Reconstructing and analyzing networks and complex collaborative decision-making processes  <b>Erik Hans Klijn</b>	<i>Interactive Lecture:</i> Democracy, collaboration and networks: tensions or not?  <b>Erik Hans Klijn</b>	<i>PhD presentations</i>
<b>10:15-11:30</b>	<i>Arriving in Tampere</i>	<i>Exercise:</i> <i>Analyzing complex collaborative decision-making processes</i> <b>Erik-Hans Klijn &amp; Jenny Lewis</b>	<i>PhD presentations</i>  <b>Erik-Hans Klijn &amp; Jenny Lewis</b>	<i>Discussion:</i> The future of collaborative and network research  <b>Jenny Lewis &amp; Erik-Hans Klijn &amp; Guest lecturer</b>
<b>11:45-13:00</b>	<i>Arriving in Tampere</i>	<i>PhD presentation:</i>  <b>Erik-Hans Klijn &amp; Jenny Lewis</b>	Lecture & exercise: Public sector innovation and network governance  <b>Jenny Lewis</b>	
<b>13:00-14:00</b>		<i>Lunch</i>	<i>Lunch</i>	
<b>14:00-16:00</b>	<i>Introduction to the course/Lecture:</i> Government, governance and collaborative/governance networks  <b>Erik Hans Klijn</b>	<b>The social network perspective</b>  <b>Jenny Lewis</b>	<i>Interactive lecture:</i> How to research networks  <b>Jenny Lewis</b>	
<b>16:15-18:00</b>	<i>2 Mini-Lectures:</i> Theories of network & collaborative governance  <b>Erik Hans Klijn</b>  <b>Measuring the effectiveness of network governance</b>  <b>Jenny Lewis</b>	<i>PhD presentations:</i>  <b>Erik-Hans Klijn &amp; Jenny Lewis</b>	<i>Guest Lecture</i>  Network and collaborative Management	

## Day 1 Theory and practice

### **Attention: required readings may change**

14.00-16.00 Lecture: *Government, governance and governance networks*, Erik Hans Klijn

Required readings:

- R. Rhodes (1996), 'The new governance: Governing without government', *Political Studies Association*, vol. 44, no. 4, pp. 652-667.
- Ansell, C. and A. Gash (2008). Collaborative Governance in Theory and Practice. *Journal of Public Administration Research and Theory* 18(4): 543–71
- K. V. Kersbergen and F.V. Waarden (2004), "Governance' as a bridge between disciplines: Cross-disciplinary inspiration regarding shifts in governance and problems of governability, accountability and legitimacy', *European Journal of Political Research*, vol. 43, no. 2, pp. 143-171.

16.00-18.00 2 Mini Lectures

*Theories of network governance*, Erik Hans Klijn

Required readings (may change):

- R. I. Agranoff and M. McGuire (2001), 'Big questions in public network management research', *Journal of Public Administration Research and Theory*, vol. 11, no. 3, pp. 295-326.
- Klijn, E.H. (2008). Governance and Governance Networks in Europe. *Public Management Review* 10 (4): 505-525
- F. Scharpf (1994), 'Games real actors could play: Positive and negative coordination in embedded negotiations', *Journal of Theoretical Politics*, vol. 6, no. 1, pp. 27-53.
- Klijn, E.H., J.F.M. Koppenjan (2016), *Governance networks in the public sector*, Oxon: Routledge

*Measuring the effectiveness of network governance*, Jenny Lewis

Required readings (may change):

- K. G. Provan and Milward, H. B. (2001), 'Do networks really work? A framework for evaluating public-sector organizational networks', *Public Administration Review*, vol. 61, no. 4, pp. 414-423.
- Lewis, JM, Baeza, JI, and Alexander, D (2008) 'Partnerships in primary care in Australia: network structure, dynamics and sustainability', *Social Science and Medicine* 67: 280-291.

## Day 2: Analyzing networks

8:30-9:00 Coffee and tea

9:00-11:30 Lecture: *Reconstructing and analyzing complex decision making processes*, Erik Hans Klijn

Required readings (may change):

- Klijn, E.H., J.F.M. Koppenjan (2016), *Governance networks in the public sector*, Oxon: Routledge (especially chapter 12).
- G. R. Teisman (2000), 'Models for research into decision-making processes: On phases, streams and rounds', *Public Administration*, vol. 78, no. 4, pp. 937-956.
- J. M. Bryson (2004), 'What to do when stakeholders matter: Stakeholder identification and analysis techniques', *Public Management Review*, vol. 6, no. 1, pp. 41-53.

11.45-13.00 PhD presentations

14.00.-16.00 Lecture & exercise: The social network perspective  
Jenny Lewis

Required readings (may change):

- Kilduff, M. and W. Tsai. (2003). *Social networks and organizations*. Sage, London. Chapters 2 and 3, pages 13-65.
- Lewis, J.M. (2010). *Connecting and cooperating: Social capital and public policy*, UNSW Press, Sydney. Chapter 2 – pages 48-72.

16.15-18.00 PhD-presentations

## Day 3: Normative aspects of networks

8:30-9:00 Coffee and tea

9.00-10.00 Lecture: *The democratic legitimacy of network governance*, Erik Hans Klijn

Required readings:

- Hirst, P. (2000). Democracy and governance. In J. Pierre (Ed.), *Debating governance: Authority, steering and democracy* (pp. 13–35). Oxford: Oxford University Press.
- Sørensen, E., & Torfing, J. (2005). The democratic anchorage of governance networks. *Scandinavian Political Studies*, 28(3), 195–218.
- E. H. Klijn and C. Skelcher (2007), 'Democracy and network governance: Compatible or not? Four conjectures and their implications', *Public Administration*, vol. 85, no. 3, pp. 587-608

10.15-11.30 PhD-presentations

11:45-13:00 Lecture & exercise: *Public sector innovation and network governance*, Jenny Lewis

Required readings (may change):

- Lewis, JM, Ricard, LM, Klijn, EH (2018) 'How innovation drivers, networking and leadership shape public sector innovation capacity', *International Review of Administrative Sciences* 84(2): 288-307.
- **Lewis, JM**, Ricard, LM, Klijn, EH and Ysa, T (2017) *Innovation in city governments: structures, networks, and leadership*. Routledge, New York. Chapter 7: Innovation networks: Connections and brokerage, 129-147

13:00-14:00 Lunch

14.00-16.00 *Interactive lecture: How to research networks*

Jenny Lewis

Required readings:

- Zølner, M., I.O. Rasmussen and A.D. Hansen. (2007). 'Qualitative interviews: Studying network narratives' in P. Bogason and M. Zølner (eds) *Methods in Democratic Network Governance*, Palgrave Macmillan, Basingstoke, 125-147.
- Lewis, JM and Chatzopoulou, S. (2015) 'Analysing networks', in (K Lyngaard, I Manners, and K Löfgren, eds) *Research methods in European Union studies*, Basingstoke: Palgrave Macmillan, 165-183.
- Lewis, J.M. (2006). 'Being around and knowing the players: Networks of influence in health policy', *Social Science and Medicine*, 62(9): 2125-2136.

16.15-18.00 *Network management*

Required readings: suggestion (may change)

- R. W. Gage and M. P. Mandell (Eds) (1990), *Strategies for managing Intergovernmental policies and networks*. New York: Praeger, pp. 20-53.
- Klijn, E.H., J.F.M. Koppenjan (2016), *Governance networks in the public sector*, Oxon: Routledge
- Meier, K. & L.J. O'Toole (2007), 'Modelling public management: empirical analysis of the management-performance nexus', *Public Administration Review*, vol. 9, no. 4, pp. 503-527
- McGuire, M. and R. Agranoff. 2011. 'The Limitations of Public Management Networks', *Public Administration*, 98, 2, 265-84.

#### **Day 4: The future of network governance research**

09.00-10.00 PhD-presentations

10.15-11.30 Guest lecturer

12.00-13.00 Lunch/*Discussion: Future of network research*, Erik Hans Klijn, Jenny Lewis, Guest Lecturer

Optional reading:

- Lewis, JM (2011) 'The future of network governance research: Strength in diversity and synthesis' (Introduction to symposium of papers on network governance research), Public Administration 89(4): 1221-1234.

### **PhD-presentations**

The course has several sessions in which the PhD students get a chance to present their research project to the other PhD students and senior researchers. The PhD-students will be divided into groups according to the topic of their thesis.

Each student must prepare and circulate a 10-15 page project description or paper which reports (part of) the research in advance. The oral presentations must be organized around the following questions: 1) What are the research questions and how are these to be investigated? 2) What role do governance networks play in the study? 3) What is the role of network management for ensuring the production of desired outcomes of network governance? 4) Which theories and methods will be applied in the study? The oral presentation should not take more than 15 minutes. It will be followed by feedback from senior researchers and the peer group.